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# UNIVERSITY of HOUSTON UCC Certificatival OF ADMINISTRATIVE POLICIES AND PROCEDURES

**SECTION: Safety and Risk Management** 

AREA: Risk Management

Number: 06.05.02

SUBJECT: Return-to-Work Program

#### PURPOSE AND SCOPE

This document encourages employees to return to work promptly following a work-related injury or illness. If an employee is able to work, but is unable to return to his or her regular duties, this document provides methods by which the employee may request to return to work in a modified or alternative assignment.

#### II. POLICY STATEMENT

The University of Houston provides a return-to-work program for work-related injuries or illnesses as the means to return employees to meaningful, productive employment following <u>a work-related</u> injury or illness. To provide the highest level of quality service to the citizens of Texas, it is necessary for every employee of the university to be available for work, ready, and capable of performing the duties and responsibilities for which the employee was hired.

#### III. DEFINITIONS

Definitions of terms used in this document may be found in MAPP 06.01.01 and MAPP 06.05.01.

#### IV. GENERAL PROVISIONS

- A. The Environmental HealthSafety and Risk Management Claims Coordinator administers the university's return-to-work program.
- B. Human Resources approves all return-to-work decisions made within the context of this document The Eemployee's Ddepartmental Ssupervisor, Ttransitional Ddepartmental Ssupervisor (if applicable) and the Claims Coordinator recommend and approve the assignment of employees who have been placed on modified duty by the injured healthemployee's health care provider.
- C. The return-to-work program provides opportunities for any employees covered by workers' compensation insurance who sustains a compensable injury during the course and scope of employment, to return to work at full/modified duty.

If the employee is not physically capable of returning to full duty, the return-to-work program provides opportunities, when available, for the employee to perform a temporary assignment in which the employee's regular position is modified to accommodate the employee's physical capacities, or to a transitional temporary assignment with alternate duties. Assignment of any employee to a transitional temporary position or modified regular position in accordance with the return-to-work program, including determination of the pay rate for the transitional position, requires the approval approval of the Eemployee's Ddepartmental Ssupervisor, Ttransitional Ddepartmental (if applicable)Ssupervisor and the Claimssupervisor, and the -Claims Coordinator, and Human Resources Human Resources. or its designee. In the case of a faculty member,

Human Resources <u>Department</u> will work with the <u>component university's chief academic</u> <u>officer or designee.</u> Senior Vice President for Academic Affairs and Provost or designee.

- D. This return-to-work program shall not be construed as recognition by the university, its management, or its employees that any employee who participates in the program has a disability as defined by the <u>Americans with Disabilities Act of 1990</u>. If an employee sustains an injury or illness that results in a disability under the Americans with Disabilities Act, it is the employee's responsibility to inform his or her supervisor or a person in a responsible management position when a disability under the Americans with Disabilities Act exists and that a reasonable accommodation is necessary to perform the essential functions of his or her job.
- E. The university has developed has developed specific procedures to guide employees regarding the return-to-work program. Procedures address applications for return-to-work documentation requirements, and-review and approval guidelines for applicable administrators. The university's procedures in this document\_include, at a minimum, those for the review and approval by Human Resources in consultation with the <a href="Environmental HealthSafety\_and-">Environmental HealthSafety\_and-</a>\_Risk Management Department.
- F. The university complies with the <u>Americans with Disabilities Act of 1990</u>, which prohibits discrimination against qualified individuals with disabilities. Nothing in this document shall be used as the basis for illegal discrimination against any individual or group.
- G. The university complies with the <u>Family and Medical Leave of Absence (FMLA) Act</u> of 1993. The policy on FMLA is detailed in the UH System Administrative Memorandum 02.D.06.
- H. It is a violation of this return-to-work MAPP, and state or federal law, for any employee, supervisor, or manager of the university to discharge or in any other manner discriminate against an employee of this agency because the employee:
  - Files a workers' compensation claim in good faith;
  - Hires a lawyer to represent the employee in a workers' compensation claim;
  - Institutes or causes to be instituted in good faith a proceeding under the Texas Workers' Compensation Act;
  - Testifies or is about to testify in a proceeding under the Texas Workers' Compensation Act.

### V. SPECIAL TEMPORARY ASSIGNMENTS

A. If an employee is unable to return to regular duties, the employee or the university may request a special temporary assignment. Performance of a temporary job assignment is intended to return an injured employee to work at less than his or her full duties when a compensable injury or serious medical condition prevents the employee from working full duty. -Two types of temporary assignments are modified duty and alternate duty that are available for six months only. Once the employee's health care provider certifies that the employee can return to work, the supervisor must adhere to the employee's medical/work restrictions. The university will consider an employee's or supervisor's request for return to work in a temporary assignment according to the following criteria:

as follows:

4.

Assignment to the employee's regular position with temporarily modified duties that the employee is able to perform;

- Temporary assignment to a transitional position for a maximum of six months with duties that the employee is able to perform;
- Permanent assignment to another position with duties which the employee is able to perform; or
- Assignment to the employee's regular position with permanently modified duties that the employee is able to perform.
- B. The university will consider an employee's or supervisor's request for return to work in a special assignment according to the following criteria:
  - 4.1. Modified Duty: Performance of all of the essential functions, but only a portion of the non-essential functions and tasks of the regular job duties for which the employee is employed. Modified duty allows the employee to return to current employment in his or her regular job, and perform those duties and tasks that are within the capabilities of the employee, given the restrictions to duty imposed by the health care provider. Modified duty is a temporary arrangement until the injured employee can resume full duty. Assignment to the employee's regular position with temporarily modified duties is designed for an employee who is temporarily unable to function at full capacity in the regular position due to work-related illness or injury, but who is expected to return to regular duties within six months. Such duty modifications require the certification of the employee's treating physician, and the Executive Eemployee's Ddepartmental Ssupervisor, Ttransitional Ddepartmental Ssupervisor and the Claims Coordinator Director of Environmenatl Health Safety and Risk Management.
  - 2. Alternate Duty: Performance of the essential functions of a job or position other than the position for which the employee is employed. Alternate duty allows the employee to perform other duties and tasks that are within the capabilities of the employee, given the restrictions to duty imposed by the health care provider. Such alternate duty may be physically located in the same department or in some other department. Alternate duty is a temporary arrangement until the injured employee can resume the full activities of his/her regular job. Return to work to a transitional position with different duties is designed for an employee who is temporarily unable to function at full capacity in the regular position due to work-related illness or injury, but who is expected to return to regular duties within six months. The employee must possess the knowledge, skills, and abilities required to function in the transitional position. Such temporary reassignment is used only when temporarily placing the employee in the transitional position would provide mutual benefits to the university and the employee, and when no current employee would be displaced by such reassignment. Such temporary reassignment requires the certification of the employee's treating physician, and Eemployee's Ddepartmental Ssupervisor, Ttransitional Ddepartmental Ssupervisor and the Claims Coordinatorthe Executive Director of Environmental HealthSafety and Risk Management.

Transitional assignments for Ffaculty Members require advance approval of of Human Resources. If a transitional assignment warrants a pay change for the affected employee, Human Resources is responsible to determine the correct pay rate for the transitional assignment. In the case of a faculty member, Human

Resources will work with the Senior Vice President for Academic Affairs and Provost or designee.

The employee's salary in the transitional temporary assignment shall be paid by the employee's regular department or division. The maximum duration of a transitional temporary assignment is six months. The regular department or division is responsible for documenting the transitional temporary assignment in accordance with university specifications.

- 3. Return to work to a <u>vacant regular position</u> with different duties is designed for an employee who is permanently unable to function at full capacity in his or her regular position due to work-related illness or injury, and who possesses the knowledge, skills, and abilities required to function in the vacant position. Such permanent reassignment is used only when permanently placing the employee in the vacant position would provide mutual benefits to the university and the employee, and where no current employee would be displaced by such reassignment. Such permanent reassignment requires the certification of the employee's treating physician and the approval of the supervisor of the new position and appropriate university administrators.
- 4. Return to work to a <u>restructured regular position</u> with modified duties is designed for an employee who is permanently unable to function at full capacity in his or her regular position due to work-related illness or injury. Such permanent duty modification requires the certification of the employee's treating physician, the approval of <u>Eemployee's Ddepartmental Ssupervisor</u>, <u>Ttransitional Ddepartmental Ssupervisor and the Claims Coordinator</u> department director or manager and appropriate university administrators.
- B. The modified and alternate duty assignments are available for six months only. Once the employee's health care provider certifies that the employee can return to work, the supervisor must adhere to the employee's medical/work restrictions.
- C. Supervisors/managers are responsible for providing the return—to-work coordinator with a copy of the employee's position description, which should indicate the physical maximum requirements and the essential and non-essential functions of the position.
- An employee who returns to work in a special temporary assignment may be assigned to another university work site, depending upon the availability of vacant positions and the limitations or abilities of the employee.
- An employee participating in the return-to-work program will not be placed into a transitional temporary or regular position if such an assignment would place the employee or others in greater danger than if an able employee held the position.
- An employee participating in the return-to-work program will not be placed into a position if such assignment would displace another current employee.
- FG. An employee in a special temporary assignment as described in section five is responsible for providing periodic reports from his/her health care provider during the period of the assignment to his/her departmental supervisor and the Claims Coordinator.
- VI. EMPLOYMENT OFFERSBONA FIDE OFFER OF EMPLOYMENT

Bona Fide Offers of Employment are subject to the following:

- A. Assignment to any of the types of positions described in <u>S</u>section <u>Vfive</u> will be documented in a <u>"bona fide offer of employment"</u> letter to the employee. The bona fide offer of employment letter shall include the following information:
  - The type of position offered and the specific duties;
  - A statement that the university is aware of and will abide by any physical limitations under which the treating <u>health care provider doctor</u> has authorized the employee to return to work and will provide training if necessary;
  - Description of t\( \frac{1}{2}\) he maximum physical job requirements;
  - The wage rate of the job;
  - The assignment location;
  - The expected assignment duration;
  - The consequences of not accepting the assignment, in terms of duration and any income benefits payable under the <u>Texas Workers' Compensation Act</u>, and any other relevant leave provisions. The letter states that the university cannot guarantee that a position will be available should the employee fail to accept the assignment.
  - The contact person if the employee has questions regarding the assignment, job modifications, or other relevant leave provisions.
- B. The employee may accept or reject the bona fide offer of employment. The employee should be informed that rejection of the bona fide offer of employment will result in workers' compensation temporary income benefits (if applicable) being stopped by the State Office of Risk Management (SORM)—as the state's insurance carrier. If the employee accepts the bona fide offer of employment, then the employee shall perform the position duties of the position for the assignment term of assignment or until the employee is able to return to full duty, whichever is sooner in the case of a temporary assignment. If the employee rejects the bona fide offer of employment, then the employee remains off work until the end of any approved leave period or until the employee is certified by the health care provider to return to full duty.
- C. In the case of a temporary assignment, if the employee is unable to return to full duty by the end of the assignment term-period and/or by the end of the employee's approved leave period, then the employee's continued employment with the university shall be considered based upon the business necessity of filling the employee's position and all applicable laws.

#### VII. REVIEW AND RESPONSIBILITY

Responsible Vice Preside	Party: Associate Vice President for Plant Operations Administration Associate nt for Finance
Review:	Every three years on or before November 1

Return-to-Work Program		MAPP 06.05.02
VIII.	APPROVAL	
	Executive Vice President for Administration and Finance	
	President	3
	Date of President's Approval:	<del>-</del>

## IX. REFERENCES

Index Terms: Return-to-work program
Work-related injuries

# **REVISION LOG**

Revision Number	Approved Date	Description of Changes
1	01/09/1997	Initial version
2	09/05/2001	Applied revised MAPP template to meet current documentation standards. Provided minor redlines to position titles to reflect current practices. Rewrote Section V with emphasis on vacant regular positions and restructured regular positions. Changed review period from annually before November 1 <sup>st</sup> to every three years on or before November 1 <sup>st</sup> . Changed responsible party to AVP for Plant Operations
3	TBD	Applied revised MAPP template and added new Revision Log. Added hyperlinks to all applicable areas and documentation. Rewrote Section V and Section VI, emphasizing modified duty, alternate duty, temporary duty, and the offer for bone fide employment. Changed the responsible party to the AVP for Finance. Removed Section IX and Index terms