

UNIVERSITY of HOUSTON  
MANUAL OF ADMINISTRATIVE POLICIES AND PROCEDURES

**SECTION: Research**  
**AREA: Office of Contracts and Grants**

**Number: 08.01.01**

<b>SUBJECT: Sponsored Research Activities</b>
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I. PURPOSE AND SCOPE

Research is a fundamental mission of the University of Houston. The University of Houston's research program is designed to encourage the production of new knowledge, resulting in the enhancement of teaching and training students, and the development of new applications that directly impact the economy of Houston and the state of Texas. Oversight of processes for obtaining sponsored (extramural) research funding and adherence to sponsor guidelines is the responsibility of the Office of Contracts and Grants (OCG).

This document assigns responsibilities and assists University personnel in complying with sponsored research requirements.

II. POLICY

- A. The University is responsible for complying with sponsor regulations, including any and all regulations promulgated by federal and state funding agencies and associated legislative bodies. The University shall enact policies, as are necessary and proper, to comply with such regulations, including those related to [financial and other conflicts of interest](#) and requirements to ensure a tobacco-free workplace in locations where sponsor-funded research is conducted.
- B. The primary responsibility of the Office of Contracts and Grants (OCG) is to ensure compliance with all applicable regulations. OCG is also responsible for promulgating [guidelines](#) to help ensure compliance with these regulations and providing training for the UH Research Administration Certification Program.
- C. Each college, department and unit business administrator must ensure compliance with OCG guidelines and obtain certification through the [University of Houston Research Administration Certification Program \(RACP\)](#). OCG will notify principal investigators, department chairs, deans, division heads, and the Senior Vice President for Academic Affairs and Provost of any instances of non-compliance with OCG Guidelines, as appropriate.
- D. In the event the University expends funds on the basis of an award and those funds cannot be collected, the expenses will be reallocated to the department responsible for the non-collection. If the non-collection is caused by failure to complete a project or not comply with the terms of the award, the responsible department will be the principal investigator's academic or research unit. If the Principal Investigator is not found to be accountable for the deficit, the University reserves the right to take action against the sponsor to recover the debt. Actions against the sponsor may include, but are not limited to: suspension of the agreement, legal actions, sanctions and/or penalties authorized by senior management and disqualification of the sponsor from doing any future work with the University.

