Stochastic Models and Algorithms for Large-scale Comparative Genomics under Complex Evolutionary Scenarios

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# Outline

- Comparative genomics: Promises and challenges
- Part I: Fast and accurate alignment and tree estimation on large-scale data sets
- Part II: Modeling and inference under more complex evolutionary scenarios
- Directions for future research and summary

# Comparative Genomics



- Advanced genome sequencing technologies are generating data at an unprecedented rate.
- How do we make sense of all of this data?
- One answer: "Nothing in biology makes sense except in the light of evolution." T. Dobzhansky

## Input and Output of An Example Comparative Genomic Study (Nature 423 2003)



### A Comparative Genomics Pipeline





(Bioinformatics 28, 2012)



(Nature 485, 2012)



Cytochrome P450 genes (highly studied, responsible for drug metabolism) Poorly understood "orphan" cytochrome P450 gene

(Liu *et al.*, submitted.)

# Applications

#### Detecting regulatory elements



5, 2004)

Detecting cancer mutations



#### (Nature 465, 2010)

(Nature Biotechnology 25, 2007)

#### And many, many more ...

#### Gene finding



# Three Major Challenges

- Computational challenge: accurate and scalable algorithms and tools for large-scale analyses
- Statistical challenge: realistic yet tractable models of genome evolution
- **Biological challenge:** co-occurrence of multiple complex evolutionary events

# My Contributions



#### Graduate work:

SATé,

SATé-II,

DACTAL,

etc.

# My Contributions



Part I: Fast and Accurate Alignment and Tree Estimation on Large-Scale Datasets

# SATé: Simultaneous Alignment and Tree estimation (Liu *et al.* Science 2009)

- Standard methods for alignment and tree estimation have unacceptably high error and/or cannot analyze large datasets
- SATé has equal or typically better accuracy than all existing methods on datasets with up to thousands of sequences
- 24 hour analyses using standard desktop computer
- SATé-II (Liu *et al.* Systematic Biology 2012) is more accurate and faster than SATé on datasets with up to tens of thousands of taxa





The true alignment is:

...ACGGTGCAGTTACC----A... ...AC---CAGTCACCCATAGA...

### DNA Sequence Evolution (Example)



### DNA Sequence Evolution (Example)



#### Tree and Alignment Estimation Problem (Example)



## Many Trees and Many Alignments

 Number of trees |T| grows exponentially in n, the number of leaves:

$$|T| = (2n - 5)!!$$

- The number of alignments |A| also grows exponentially in n and the length of the longest unaligned sequence.
- All of the common and useful optimization problems are NP-hard.

#### SATé Algorithm



**Insight**: iterate - use a moderately accurate tree to obtain a more accurate tree

If new alignment/tree pair has worse likelihood, realign using a different decomposition

Repeat until convergence under the maximum likelihood optimization criterion

# SATé iteration (Actual decomposition size is configurable)



# SATé iteration (Actual decomposition size is configurable)











#### Results on a Dataset with 27,000 Sequences



# Summary of Part I

- Created novel tree-based divide-and-conquer techniques for simultaneous alignment and tree estimation, enabling:
  - Scalability to thousands of sequences or more
  - High accuracy
- Family of algorithms included:
  - SATé (Liu et al. Science 2009)
  - SATé-II (Liu et al. Systematic Biology 2012)
  - and others

## Part II: Beyond Trees

# Almost all comparative genomic approaches assume that genomes have evolved down a tree.



(Nature 431, 2004)

- However, it has been shown that:
  - different genomic regions might evolve down different trees, and
  - the set of species might not have evolved in a strictly diverging manner.

(MBE 29, 2013)



### A Machine Learning View of Comparative Genomics



# Overarching Goal

- For every site in the genome, learn:
  - the local gene tree along which the site evolved, and
  - the evolutionary trajectory that the local gene tree took within the species network.
- We also want a confidence measure for the inference.

# My Approach

- Modeling: Combine species networks and hidden Markov models into one unified framework, PhyloNet-HMM.
- Inference: Using genomic sequence data, the task is to learn the model.

# Gene Trees with Different Trajectories in a Species Network



Disentangling Gene Tree Trajectories


Disentangling Gene Tree Trajectories

Insight: "Pull apart" species network into two "parental trees"

#### "Horizontal" and "Vertical" Incongruence



#### "Horizontal" and "Vertical" Incongruence





# Insight #1

- "Horizontal" and "vertical" incongruence between neighboring gene trees represent two different types of dependence.
- Model the two dependence types using two classes of transitions in a graphical model.

# Insight #2

- DNA sequences are observed, not gene trees.
- Under traditional models of DNA sequence evolution, the probability P(s|g) of observing DNA sequences s given a gene tree g can be efficiently calculated using dynamic programming.

#### Insight #1 + Insight #2 = Use a Hidden Markov Model (HMM)

#### Hidden Markov Model (HMM) Example

- Coin tossing experiment:
  - 1. An experimenter flips one of two hidden coins with unknown bias and tells you the result.
  - 2. Repeat for a total of *k* trials, resulting in observation sequence *O*.

#### Hidden Markov Model (HMM) Example



$$\mathbf{P}(H|q_t = s_1) = b_1$$
$$\mathbf{P}(T|q_t = s_1) = 1 - b_1$$

 $\mathbf{P}(H|q_t = s_2) = b_2$  $\mathbf{P}(T|q_t = s_2) = 1 - b_2$ 

#### Hidden Markov Model (HMM) Example



- The HMM has N=2 states.
- The HMM is in state  $q_t$  at time t, where  $1 \le t \le k$ .
- The set of HMM parameters  $\lambda$  consists of:
  - The transition probability matrix  $A = \{a_{ij}\}$
  - The emission probabilities  $B = \{b_i\}$
  - The initial state distribution  $\pi_i = \mathbf{P}(q_1 = s_i)$

#### Three Problems Addressed Using HMMs

- 1. What is the likelihood of the model given the observation sequence?
  - Forward algorithm calculates prefix probability  $\alpha_t(i) = \mathbf{P}(O_1, O_2, \dots, O_t, q_t = S_i | \lambda)$
  - Backward algorithm calculates suffix probability  $\beta_t(i) = \mathbf{P}(O_{t+1}, O_{t+2}, \dots, O_k | q_t = S_i, \lambda)$

- Model likelihood is 
$$\mathbf{P}(O|\lambda) = \sum_{i=1}^{N} \alpha_k(i)$$

- 2. Which sequence of hidden states best explains the observation sequence?
  - Posterior decoding probability  $\gamma_t(i)$  is the probability that HMM is in state  $s_i$  at time t, calculated as:

$$\gamma_t(i) = \frac{\alpha_t(i)\beta_t(i)}{\mathbf{P}(O|\lambda)}$$

- 3. How do we choose parameter values that maximize the model likelihood?
  - Apply Baum-Welch algorithm to search for  $rg \max_{\lambda} \mathbf{P}(O|\lambda)$

#### PhyloNet-HMM: Problem Definition



For each site  $1 \leq i \leq k$ , let  $\pi_i$  be a random variable that takes a value from the set  $(g_x, \psi_y) : g_x \in G(n), \psi_y \in \Psi$ .

**Input:** A set S of n aligned genomes, each of length k, and a set  $\Psi$  of parental trees corresponding to a species network.

**Output:** For each site  $1 \le i \le k$ , the probability

$$\mathbf{P}(\pi_i = (g_x, \psi_y)|S)$$

for every  $g_x \in G(n)$  and  $\psi_y \in \Psi$ .

#### PhyloNet-HMM: Hidden States













# PhyloNet-HMM: Hidden States and Transitions Involving $q_1$



#### PhyloNet-HMM

- Each hidden state s<sub>i</sub> is associated with a gene tree g(s<sub>i</sub>) contained within a "parental" tree f(s<sub>i</sub>)
- The set of HMM parameters  $\lambda$  consists of
  - The initial state distribution  $\pi$
  - Transition probabilities

 $a_{ij} = \begin{cases} \mathbf{P}(g(s_i)|f(s_i)) \cdot \gamma & \text{if } s_i \text{ and } s_j \text{ in different rows} \\ \mathbf{P}(g(s_i)|f(s_i)) \cdot (1-\gamma) & \text{if } s_i \text{ and } s_j \text{ in same row} \end{cases}$ 

where  $\gamma$  is the "horizontal" parental tree switching frequency.

- The emission probabilities  $b_i = \mathbf{P}(O_t | g(s_i))$ 

#### PhyloNet-HMM: Two Calculations

• The probability of a gene tree topology g given a containing species tree ( $\Psi$ , $\lambda$ ) (Degnan and Salter 2005):

$$P_{\psi,\lambda}(G = g) = \sum_{\mathbf{h}\in H_{\psi}(g)} \frac{w(\mathbf{h})}{d(\mathbf{h})} \prod_{b=1}^{n-2} \frac{w_b(\mathbf{h})}{d_b(\mathbf{h})} p_{u_b(\mathbf{h})v_b(\mathbf{h})}(\lambda_b).$$

The probability of observing DNA sequences S given a gene tree (g, ω) can be efficiently computed using dynamic programming (Felsenstein 1981).

#### Three Problems Addressed Using PhyloNet-HMM

#### 1. What is the likelihood of the model given the observed DNA sequences?

- Forward algorithm calculates prefix probability  $\alpha_t(i) = \mathbf{P}(O_1, O_2, \dots, O_t, q_t = S_i | \lambda)$
- Backward algorithm calculates suffix probability  $\beta_t(i) = \mathbf{P}(O_{t+1}, O_{t+2}, \dots, O_k | q_t = S_i, \lambda)$

- Model likelihood is 
$$\ \mathbf{P}(O|\lambda) = \sum_{i=1}^{n} lpha_k(i)$$

- 2. Which sequence of hidden states best explains the observed DNA sequences?
  - Posterior decoding probability  $\gamma_t(i)$  is the probability that HMM is in state  $s_i$  at time t, calculated as:

$$\gamma_t(i) = \frac{\alpha_t(i)\beta_t(i)}{\mathbf{P}(O|\lambda)}$$

3. How do we choose parameter values that maximize the model likelihood?

- Apply E-M to optimize  $rg \max_{\lambda} \mathbf{P}(O|\lambda)$ 

# Related Methods

- Current methods for inference under species networks fall into two classes:
  - 1. Methods that work for at most three genomes, e.g.
    - D-statistic (Durand *et al.* 2012)
    - CoalHMM (Mailund et al. 2012)
  - 2. Methods that consider vertical incongruence or horizontal incongruence but not both, e.g.
    - CoalHMM (Hobolth et al. 2007, Schierup et al. 2009)
    - RecHMM (Westesson and Holmes 2009)

# Evaluating PhyloNet-HMM

- Simulation study using:
  - Species tree model
  - Species network model
- Empirical study of different sets of mouse genomes:
  - Controls: lab mice, wild mice from populations that lacked gene flow
  - Additional wild mice from populations where gene flow was suspected

## Simulation Model



# Simulation Study Results



#### Empirical Study: Non-control Mice (Chromosome 7)

![](_page_57_Figure_1.jpeg)

Liu *et al.,* revision under review, PLoS Computational Biology.

# The *Vkorc1* Gene and Personalized Warfarin Therapy

![](_page_58_Picture_1.jpeg)

- Mutant *Vkorc1* gene contributes to warfarin resistance
- Warfarin resistant individuals require larger-than-normal dose to prevent clotting complications (like stroke)

Rost et al. Nature 427, 537-541 2004.

#### Warfarin and Adverse Events

- Warfarin is the most widely prescribed blood thinner
- Treatment is complicated because every patient is different
  - Gene mutations confer resistance or susceptibility
- Annually,
  - 85,000 serious bleeding events
  - 17,000 strokes
  - Cost: \$1.1 billion

McWilliam et al. AEI-Brookings Joint Center 2006.

#### Warfarin is Really Glorified Rodent Poison

![](_page_60_Picture_1.jpeg)

Reproduced from UTMB.

#### The Spread of Warfarin Resistance in Wild Mice

- Humans inadvertently started a gigantic drug trial by giving warfarin to mice in the wild
- Mice shared genes (including one that confers warfarin resistance) to survive (Song *et al.* 2011)
  - Gene sharing occurred between two different species (introgression)
- To find out results from the drug trial, we just need to analyze the genomes of introgressed mice and locate the introgressed genes

# Summary of Part II

- PhyloNet-HMM generalizes the basic coalescent model, one of the most widely used models in population genetics, by using a DAG in place of a tree
- Simulated and empirical data sets with tree-like and non-tree-like evolution were used to validate PhyloNet-HMM
- PhyloNet-HMM found non-tree-like evolution in multiple mouse chromosomes
  - Introgressed mouse genes confer warfarin resistance, many with related human genes
  - New candidate genes to target for improved personalization of warfarin therapy
- Study of non-tree-like evolution is a fundamentally important research topic in biology

#### Future Research and Summary

- Previous analyses (at most five genomes and a single network edge) required more than a CPUmonth on a large cluster
- Problem is combinatorial in both the number of genomes and the number of network edges
- Challenge: efficient and accurate network-based inference from hundreds of genomes or more

#### My Contributions: A Big Data Perspective

![](_page_65_Figure_1.jpeg)

Single gene or a few genes

Entire genome

Sequence length

![](_page_66_Figure_1.jpeg)

Single gene or a few genes

Entire genome

Sequence length

![](_page_67_Figure_1.jpeg)

Single gene Entire genome or a few genes

Sequence length

![](_page_68_Figure_0.jpeg)

![](_page_69_Figure_1.jpeg)

![](_page_70_Figure_1.jpeg)

## Funding Opportunities for My Work

- Computational approaches constitute basic research of interest to NSF (IIS, ABI)
- Wide range of applications of interest to different funding agencies, including:
  - The role of introgression in the spread of pesticide resistance in wild mice, with applications to personalized warfarin therapy (NIH)
  - The role of horizontal gene transfer in the spread of antibiotic resistance in bacteria (NIH)
  - Bacterial genomics (DOE)
  - Hybridization in plants (USDA)
## Summary

- I have created:
  - new iterative divide-and-conquer techniques, which were used to develop methods for fast and accurate inference of alignments and trees from large-scale data sets, and
  - PhyloNet-HMM, a new inference method utilizing a DAG-based stochastic model, which is capable of disentangling "vertical" and "horizontal" evolution.
- My future research directions include:
  - developing divide-and-conquer methods for fast and accurate analysis of non-tree-like evolution using large-scale genomic data sets, and
  - synthesizing evolutionary analysis with interactomic and other functional analyses.

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  - NHLBI (Grant No. R01HL09100704 to Michael Kohn)

## Questions?

- My website: <u>http://www.cs.rice.edu/~kl23</u>
- Nakhleh lab website: <u>http://bioinfo.cs.rice.edu</u>
- Warnow lab website: <u>http://www.cs.utexas.edu/~phylo</u>