

# UNIVERSITY OF HOUSTON COMPLIANCE MATRIX

This **Compliance Matrix** details the decentralized matrix of UH officers and administrators responsible for specific compliance areas, coordinated and supported by the Office of General Counsel, the Office of Internal Audit, and the Chief Compliance Officer.

The **Accountable Senior Officer with Oversight Responsibilities “Responsible Person”** is a member of Administration with overall operational responsibility for the designated compliance area.

The **Functionally Responsible Contact** is the administrator or staff member with day-to-day responsibility for the designated compliance area.

| Compliance Area              | Accountable Department/Division/Office  | Accountable Senior Officer with Oversight Responsibilities “Responsible Person”   | Functionally Responsible Contact   | Representative Issues  | Representative Laws and Guidance   |
|------------------------------|---|---|--|--|--|
| Academic Affairs Division    | <a href="#">Office of the Provost</a>   | Diane Chase, Provost<br><a href="mailto:dzchase@Central.UH.EDU">dzchase@Central.UH.EDU</a>  | Jim Briggs, Assoc. VP Faculty Development/Affairs<br><a href="mailto:jbriggs@uh.edu">jbriggs@uh.edu</a><br><br>Sabrina Hassumani, Assoc. VP Academic Affairs Finance & Administration<br><a href="mailto:shassumani@uh.edu">shassumani@uh.edu</a><br><br>College Deans | Faculty appointments, reappointments, promotions, and tenure; privacy; budgets; SACS<br><br>Academic Fee Management Equity Balances; ensuring financial accountability and compliance with state and university guidelines<br><br>Academic honesty | Title VI, VII, IX of Civil Rights Act; FERPA; Texas Ed Code; Faculty Handbook<br><br>MAPP 11.03.01<br>SAM 03.G.01<br>MAPP and SAMs related to financial accountability |
| Accounting/Fiscal Management | <a href="#">Finance</a> – Accounts Payable, Tax Department, Financial Reporting and Accounting Services | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Karin Livingston<br>AVP for Finance<br><a href="mailto:klivingston@uh.edu">klivingston@uh.edu</a><br><br>. | Usha Mathew, Controller<br><a href="mailto:umathew@central.uh.edu">umathew@central.uh.edu</a>  | Internal controls; property inventory; accounting, financial reporting, travel, and payment for expenditures   | Tax Code; Texas Ed Code; Red Flag Rules  |
| Accreditation                | <a href="#">Office of the Provost</a>   | Diane Chase, Provost<br><a href="mailto:dzchase@Central.UH.EDU">dzchase@Central.UH.EDU</a>  | Joseph Curtin, Assoc. Provost, Inst. Research<br><a href="mailto:jcurtin@uh.edu">jcurtin@uh.edu</a>  | University accreditation (SACS); UH Quality Enhancement Plan (QEP)   | HEOA, SARA, SACS and Programmatic Accreditations; THECB reporting requirements   |

|                            |  |  |   |   |   |
|----------------------------|--|--|---|---|---|
| Admissions                 | <a href="#">Student Affairs</a>                  | Diane Chase, Provost<br><a href="mailto:dzchase@Central.UH.EDU">dzchase@Central.UH.EDU</a>   | Mardell Maxwell, Exec. Dir. Of Admissions<br><a href="mailto:mrm Maxwell@uh.edu">mrm Maxwell@uh.edu</a>             | Privacy; diversity; non-discrimination  | FERPA; Texas Ed Code  |
| Advancement                | <a href="#">Office of University Advancement</a> | Eloise Brice, VP University Advancement<br><a href="mailto:edstuhr@uh.edu">edstuhr@uh.edu</a>  | Bob Leaumont, AVP Advancement Operations<br><a href="mailto:rfleumo@uh.edu">rfleumo@uh.edu</a>                      | Donor instructions; IRS rules on gifts/endowments; state regs on solicitation and confidentiality of donor identity   | State registration requirements; Gift terms; IRS regulations; Philanthropy Act of 1995; CAN-SPAM Act  |
| Assets, Endowment, Banking | <a href="#">Office of the Treasurer</a>          | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Karin Livingston<br>AVP for Finance<br><a href="mailto:klivingston@uh.edu">klivingston@uh.edu</a> | Louis Edwards, Treasurer<br><a href="mailto:lwedwards2@uh.edu">lwedwards2@uh.edu</a>                                | Endowment spending; fiduciary obligations; public finance   | SEC regulations; State and Federal banking regulations; IRS Regulations; UPMIFA, GLBA   |
| Athletics                  | <a href="#">Athletics</a>                        | Chris Pezman, VP Intercollegiate Athletics<br><a href="mailto:cwpezman@uh.edu">cwpezman@uh.edu</a>   | Lauren DuBois, Sr. Assoc. Athletic Director<br><a href="mailto:ledubois@uh.edu">ledubois@uh.edu</a>                 | Gender equality (reporting and compliance); recruiting; records; NCAA compliance; protection of minors; consent and release forms                                   | Equity in Athletics Disclosure Act; Title IX; FERPA; NCAA   |
| Campus Safety and Security | <a href="#">UHPD</a>                             | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Ceaser Moore, Chief of Police<br><a href="mailto:cmoore@uh.edu">cmoore@uh.edu</a>                 | <i>Law Enforcement:</i><br>Chandra Johnson, Assistant Chief<br><a href="mailto:ckjohns2@uh.edu">ckjohns2@uh.edu</a> | Emergency planning; law enforcement; campus carry enforcement<br><br><a href="#">Clery Act reporting</a> ; VAWA compliance and reporting; Campus SaVE Act reporting | Clery Act; The Campus Sexual Assault Victim Bill of Rights; Campus Sex Crimes Prevention Act; Title IX; VAWA; Campus SaVE Act; Campus Carry law |
| Compliance and Ethics      | <a href="#">Office of Compliance and Ethics</a>  | Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>  | Susan Koch, Chief Compliance Officer<br><a href="mailto:sfkoch2@uh.edu">sfkoch2@uh.edu</a>                          | Ethical culture; compliance issues; compliance training   | Federal Sentencing Guidelines for Organizations; Federal Ethics statutes; Texas Government and Penal Code; OMB Uniform Guidance; FAR            |

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|---------------------------------------|---|--|--|--|---|
| Contract Administration               | <a href="#">Office of Contract Administration</a>             | Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>  | <i>Contracts:</i><br>Mark Yzaguirre, Assoc. VC/VP & Assoc. GC,<br><a href="#">Office of Contracts Administration</a><br><a href="mailto:myzaguirre@uh.edu">myzaguirre@uh.edu</a><br><a href="mailto:contractadmin@uh.edu">contractadmin@uh.edu</a><br><br><i>Real Estate &amp; Construction Contracts:</i><br><a href="mailto:recc@central.uh.edu">recc@central.uh.edu</a> | Contract administration; compliance with relevant state contracting laws                               | Texas Government Code; Texas Education Code   |
| Copyright                             | <a href="#">University Information Technology</a>             | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a><br><br>Unit Leader: Dennis Fouty, Assoc. VP IT<br><a href="mailto:dfouty@uh.edu">dfouty@uh.edu</a> | Jana Chvatal, Interim Ass't VP, IT Security<br><a href="mailto:jchvatal@uh.edu">jchvatal@uh.edu</a>  | Fair Use; course materials; music downloads; university logos and licensing; peer to peer file sharing | Digital Millennium Copyright Act; Copyright Act; HEOA; Visual Arts Rights Act; Bayh-Dole Act; CREATE Act; Copyright Term Extension Act; Lanham Act; Trademark Revision Act; TEACH Act |
| Disability Accommodations (Students)  | <a href="#">Justin Dart, Jr. Student Accessibility Center</a> | Paul Kittle, VP Student Affairs<br><a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>  | Kyle Mutz, Director<br><a href="mailto:kjmutz@uh.edu">kjmutz@uh.edu</a>  | Academic accommodations for students   | ADA (Title I and III); Section 504 of Rehabilitation Act of 1973  |
| Disability Accommodations (Employees) | <a href="#">Equal Opportunity Services</a>                    | Raymond Bartlett, Sr. VP Administration & Finance<br><a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>  | Rebecca lake, Ass't VP, EOS<br><a href="mailto:rmlake@uh.edu">rmlake@uh.edu</a>  | Reasonable workplace accommodations  | ADA (Title I, II, and III); Section 504 of Rehabilitation Act of 1973; TX Commission on Human Rights Act  |
| Accessibility                         |   |  | EIRA Coordinator<br><a href="mailto:accessibility@uh.edu">accessibility@uh.edu</a>   | Accessibility for electronic and information resources   | Section 508 of Rehabilitation Act of 1973; Section 255 of Telecommunications Act; ADA (Title III); TAC 213  |

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|----------------------------------|---|--|--|--|--|
| Diversity and Affirmative Action | <a href="#">Equal Opportunity Services</a>        | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a>   | Rebecca Lake, Ass't VP, EOS<br><a href="mailto:rmlake@uh.edu">rmlake@uh.edu</a>  | Discrimination in hiring/promoting practices; gender discrimination; age discrimination; hostile work environment; Title IX compliance   | Age Discrimination Act; Exec Order 11246, VEVRAA, Section 503 (AA); Equal Pay Act; Title VI, VII, IX; Fair Housing Act; Pregnancy Discrim. Act; Older Workers Benefit Protection Act; Uniformed Services Employment and Reemployment Act |
| Emergency Management             | <a href="#">Office of Emergency Management</a>    | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Kelly Boysen, Ass't VP, Campus Safety<br><a href="mailto:krboysen@uh.edu">krboysen@uh.edu</a>              | Ginger Walker, Dir. Emergency Mgmt<br><a href="mailto:gkwalker@uh.edu">gkwalker@uh.edu</a>   | Emergency preparedness planning; continuity of operations planning; emergency operations center  | Texas Education Code (multi-hazard emergency operations plan); State Office of Risk Management Continuity Policy   |
| Environmental Health & Safety    | <a href="#">Environmental Health &amp; Safety</a> | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Kelly Boysen, Ass't VP, Campus Safety<br><a href="mailto:krboysen@uh.edu">krboysen@uh.edu</a> | Lisa Benford, Dir. EHS<br><a href="mailto:lbenford@uh.edu">lbenford@uh.edu</a><br><br>Chris McDonald, Dir., Fire & Life Safety<br><a href="mailto:cjmcdonald@uh.edu">cjmcdonald@uh.edu</a> | Laboratory Safety program (research and instructional); Compliance committees' regulation of hazardous materials (biological, chemical and radiological); Disposal of hazardous materials; Occupational Safety program | NIH Office of Science Policies, TDSHS, EPA, RCRA, OSHA, CERCLA, Toxic Substance Control Act, Clean Air Act, Clean Water Act  |

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| Export Control | Office of Compliance and Ethics                    | <p>Dona Cornell, VP, General Counsel<br/><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a></p> <p>David Schultz, Ass't VP, Contracts and Grants<br/><a href="mailto:dschultz@uh.edu">dschultz@uh.edu</a></p> <p>Susan Koch, Chief Compliance Officer<br/><a href="mailto:sfkoch2@uh.edu">sfkoch2@uh.edu</a></p> | <p>Angelica Grado-Wright, Export Control Officer<br/><a href="mailto:amgrado-wright@central.uh.edu">amgrado-wright@central.uh.edu</a></p>   | Deemed Export, Physical Travel, and/or Shipment of Equipment, Data, Technology, Biological/ Chemical Materials to Foreign Country/Person; I-129 ECO Certification; Export License determination and/or application for new hire, researcher and students participating in online courses from sanctioned Countries; TCPs; CITI Training  | CFR, EAR, ITAR, OFAC, NISPOM, NSDD-189  |
| Facilities     | <a href="#">Facilities/Construction Management</a> | <p>Raymond Bartlett, Sr. VP, Administration and Finance<br/><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a></p> <p>Unit Leader: David Oliver, AVP Facilities/Construction Mgmt<br/><a href="mailto:jdoliver@uh.edu">jdoliver@uh.edu</a></p>   | <p><i>Facility Business Ops &amp; Compliance:</i><br/>Magda Alanis, Exec. Dir. Facilities Business Ops &amp; Compliance<br/><a href="mailto:malanis4@central.uh.edu">malanis4@central.uh.edu</a></p> <p><i>Facility Services:</i><br/>Michael Oestereicher, Ass't VP, Facilities Services<br/><a href="mailto:moestere@uh.edu">moestere@uh.edu</a></p> <p><i>Facilities Construction:</i><br/>Nick Merry, Exec. Dir., FPC<br/><a href="mailto:nlmerry@uh.edu">nlmerry@uh.edu</a></p> <p><i>Facilities Planning:</i><br/>Christa Rieck, Exec. Dir., FPC, Planning<br/><a href="mailto:Choward4@uh.edu">Choward4@uh.edu</a></p> | <p>Contract processing and management, financial control management, business oversight (IT, customer service, communications, inventory)</p> <p>Campus maintenance and operations; minor planned construction and repair projects management</p> <p>Capital level construction management; UH System projects &gt;\$1M<br/>Project development, deferred maintenance fund distribution, BOR approval, THECB capital expenditure reporting; facilities inventory certification</p> | TCEQ regs, Life Safety Code, THECB reporting and approval requirements; Tex. Education Code §61 |

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| Financial Aid        | <a href="#">Office of Scholarships and Financial Aid</a> | Diane Chase, Provost<br><a href="mailto:dzchase@Central.UH.EDU">dzchase@Central.UH.EDU</a><br><br>Charita Hampton, Interim Exec. Dir., Student Fin. Aid<br><a href="mailto:CHampton@Central.UH.EDU">CHampton@Central.UH.EDU</a>     | Salomon Medina, Asst. Dir., Fin. Aid Compliance<br><a href="mailto:sdmedina@uh.edu">sdmedina@uh.edu</a>                 | Eligibility for Title IV funds; federal work study; federal and state awards and disbursements; reporting obligations   | Title IV; THECB; Gainful Employment Regulations; HEOA; FERPA; Solomon Amendment; OMB Circular A-21; IRC; UPMIFA; Student Loan Default Prevention Initiative Act |
| Fire and Life Safety | <a href="#">Fire and Life Safety</a>                     | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Kelly Boysen, Ass't VP, Campus Safety<br><a href="mailto:krboysen@uh.edu">krboysen@uh.edu</a> | Chris McDonald, Director, Fire and Life Safety/Fire Marshal<br><a href="mailto:cjmcdonald@uh.edu">cjmcdonald@uh.edu</a> | Response and investigation of fires on UH property; inspection of existing buildings and under construction for compliance with fire/life safety codes and standards; inspection of on-campus food establishments | NFPA 1, Fire Code; NFPA 101, Life Safety Code; State Fire Marshal Rules; Texas Food Establishment Rules   |
| Governance           | <a href="#">Office of General Counsel</a>                | Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>   | Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>                             | Transparency; conflicts of interest; Regent duties and responsibilities; governance; ethics   | Open Meetings Act; Texas Government Code Title VI; Texas Education Code Ch. 111; SACS; BOR policies   |
| Grant Administration | <a href="#">Division of Research</a>                     | Claudia Neuhauser, Interim VP Research and Technology Transfer<br><a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>  | David Schultz, Ass't VP, Office of Contracts and Grants<br><a href="mailto:dschultz@uh.edu">dschultz@uh.edu</a>         | Pre and Post Award Requirements; Financial Reporting; Regulatory Review; Effort Reporting   | OMB Uniform Guidance; HEOA; HHS/NIH Regulations; Byrd Amendment; Texas Education Code   |
| Human Resources      | <a href="#">Human Resources</a>                          | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Gaston Reinoso, AVP HR<br><a href="mailto:greinoso@uh.edu">greinoso@uh.edu</a>   | Sandra Armstrong, Assoc. VP HR<br><a href="mailto:sgarmstrong@uh.edu">sgarmstrong@uh.edu</a>                            | Employment benefits; FMLA; USERRA; wage/hour; personnel policies; recruiting/hiring; training; termination and early retirement; I-9 verification; grievances   | FLSA; FMLA; FCRA, Drug-Free Workplace Act; FACTA; Immigration & Nationality Act; Labor Management Relations Act; OWBPA; USERRA                                  |

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| Immigration (Nonimmigrant Students and J Visa Exchange Visitors) | <a href="#">International Student and Scholar Services Office (ISSSO)</a>         | Paul Kittle, VP Student Affairs<br><a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>   | Jin Zhang, Director ISSSO<br><a href="mailto:jinzhang@uh.edu">jinzhang@uh.edu</a>   | Visa status; eligibility for student employment; Foreign scholars and students; visiting artists and lecturers | Immigration & Nationality Act; SEVIS regs  |
| Immigration (Faculty and Staff)                                  | <a href="#">Office of General Counsel/Human Resources</a>                         | Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>   | Casmin Hicks, Immigration Specialist<br><a href="mailto:cghicks@uh.edu">cghicks@uh.edu</a><br><br>Valerie Coleman-Ferguson, Assoc. GC<br><a href="mailto:viferguson@uh.edu">viferguson@uh.edu</a>         | Visa status; eligibility for employment  | Immigration & Nationality Act  |
| Intellectual Property & Technology Transfer                      | <a href="#">Office of Technology Transfer and Innovation</a>                      | Ramanan Krishnamoorti, VP Energy & Innovation<br><a href="mailto:ramanan@uh.edu">ramanan@uh.edu</a>   | Rick James, Exec. Dir., OTTI<br><a href="mailto:rfjames@uh.edu">rfjames@uh.edu</a>  | Research-related invention disclosures; technology licensing; commercialization and patents                    | Bayh-Dole Act; Tech Transfer Act; Dep't of Commerce regs; NIH guidelines   |
| International Activities and Programs                            | <a href="#">Office of the Provost UH Global</a>                                   | Diane Chase, Provost<br><a href="mailto:dzchase@Central.UH.EDU">dzchase@Central.UH.EDU</a>  | Andie Beer, Ass't Dir. Learning Abroad<br><a href="mailto:ambeer@uh.edu">ambeer@uh.edu</a><br><br>Maggie Mahoney, Interim Dir., Learning Abroad<br><a href="mailto:memahoney@uh.edu">memahoney@uh.edu</a> | Fulbright Scholar Program, University Agreements, and Global Initiatives<br><br>Study Abroad                   | Mutual Education and Cultural Exchange Act   |
| Information Technology/Privacy & Security                        | <a href="#">UIT Security</a><br><br><a href="#">Office of the General Counsel</a> | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Dona Cornell, VP, General Counsel, Privacy Officer<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a><br><br>Unit Leader: Dennis Fouty, Assoc. VP IT<br><a href="mailto:dfouty@uh.edu">dfouty@uh.edu</a> | Jana Chvatal, Interim Ass't VP, IT Security<br><a href="mailto:jchvatal@uh.edu">jchvatal@uh.edu</a>   | Privacy; identity theft; virus and hacking prevention  | FISMA; FERPA; HIPAA; Texas Privacy Act; GDPR; Gramm Leach Bliley Act; Digital Millennium Copyright Act; No Electronic Theft Act; FACTA |

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|------------------------------|---|--|--|--|--|
| Marketing and Communications | <a href="#">Marketing and Communications</a>          | Lisa Holdeman, VP<br><a href="mailto:lholdeman@uh.edu">lholdeman@uh.edu</a>  | Patrick Mulligan, Executive Director, Brand Management, Trademarks & Licensing<br><a href="mailto:pjmullig@uh.edu">pjmullig@uh.edu</a> | Trademarks management and Intellectual Property Use  | U.S. Patent and Trademark Office (USPTO)   |
| Mental Health                | <a href="#">Counseling and Psychological Services</a> | Paul Kittle, VP Student Affairs<br><a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>  | Norma Ngo, Dir. Counseling & Psychological Services<br><a href="mailto:Nngo2@uh.edu">Nngo2@uh.edu</a>                                  | Mental health privacy and confidentiality  | HIPAA; TX Mental Health Code; Occupations Code; Psychologists' Licensing Act   |
| Purchasing/Procurement       | <a href="#">Purchasing</a>                            | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Karin Livingston, AVP for Finance<br><a href="mailto:klivingston@uh.edu">klivingston@uh.edu</a> | Robert Adkins, Director, Purchasing<br><a href="mailto:rsadkins@central.uh.edu">rsadkins@central.uh.edu</a>                            | Contract administration; compliance state purchasing rules; procurement process  | Texas Government Code; OMB Uniform Guidance; FAR, Anti-Kickback Act; Contracts Dispute Act; E-Sign Act; Davis-Bacon Act                              |
| Records                      | <a href="#">Office of the General Counsel</a>         | Dona Cornell, VP, General Counsel<br><a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>  |  | Record retention; litigation holds; response to Public Information Act requests; discovery requests  | <a href="#">Texas Public Information Act</a> ; FERPA; HIPAA  |
| Registrar                    | <a href="#">Office of the University Registrar</a>    | Diane Chase, Provost<br><a href="mailto:dzchase@Central.UH.EDU">dzchase@Central.UH.EDU</a>   | Scott Sawyer, University Registrar<br><a href="mailto:shsawyer@central.uh.edu">shsawyer@central.uh.edu</a>                             | The Family Educational Rights Act (FERPA or the Buckley Amendment) protecting a student's right to privacy concerning their academic records and information | 20 U.S.C. 123g; 34 CFR Part 99   |
| Research Animal Care and Use | <a href="#">Division of Research</a>                  | Claudia Neuhauser, Interim VP Research and Technology Transfer<br><a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>   | David Brammer, Executive Director Animal Care Operations<br><a href="mailto:dwbammer@uh.edu">dwbammer@uh.edu</a>                       | The care and use of animals in biomedical research   | Animal Welfare Act, National Institutes of Health Office of Laboratory Animal Welfare, United States Department of Agriculture, AAALAC International |



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|---------------------------------------|--|---|--|--|--|
| Research Ethics/Compliance            | <a href="#">Research Integrity &amp; Oversight</a>     | Claudia Neuhauser, Interim VP Research and Technology Transfer<br><a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>  | Laura Gutierrez, Interim Exec. Dir., Research Compliance<br><a href="mailto:lgutierrez@uh.edu">lgutierrez@uh.edu</a>     | Regulatory and ethical aspects of research involving: <ul style="list-style-type: none"> <li>• Human Subjects</li> <li>• Animal Research</li> <li>• Research Conflict of Interest</li> <li>• Research Misconduct</li> <li>• Responsible Conduct of Research</li> </ul> | DHHS; PHS; USDA; FDA; ORI; NIH/NSF; FERPA; HIPAA; AAALAC accreditation                       |
| Residential Life and Housing          | <a href="#">Student Housing &amp; Residential Life</a> | Paul Kittle, VP Student Affairs<br><a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>   | Don Yackley, AVP, SHRL<br><a href="mailto:dyackley@uh.edu">dyackley@uh.edu</a>   | Reasonable accommodations; student allergies   | ADA; OSHA; State and local regs; Clery Act   |
| Risk Management                       | <a href="#">Risk Management</a>                        | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a><br><br>Unit Leader: Louis Edwards, Treasurer<br><a href="mailto:rdpurysa@uh.edu">rdpurysa@uh.edu</a> | Wayne Brown, Dir., Risk Management<br><a href="mailto:lwedwards2@uh.edu">lwedwards2@uh.edu</a>                           | Property and liability insurance; workers compensation; drones   | Insurance claims; TX Workers' Compensation Act   |
| Sexual Violence and Sexual Harassment | <a href="#">Equal Opportunity Services</a>             | Raymond Bartlett, Sr. VP, Administration and Finance<br><a href="mailto:rbartlett@central.uh.edu">rbartlett@central.uh.edu</a>  | Rebecca Lake, Ass't VP, EOS<br><a href="mailto:rmlake@uh.edu">rmlake@uh.edu</a>  | Sexual misconduct  | Title IX; Violence Against Women Act; Campus Sex Crimes Prevention Act, FERPA; Texas Ed Code |
| Student Affairs                       | <a href="#">Dean of Students</a>                       | Paul Kittle, VP Student Affairs<br><a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>   | Donell Young, Dean of Students<br><a href="mailto:dlyoung4@uh.edu">dlyoung4@uh.edu</a>                                   | Student organizations; student discipline; residential life; ADA/504 in housing and educational programs   | ADA/Rehabilitation Act of 1973; FERPA; HEOA; Title IX; Texas Ed Code                         |
| Student Health Services               | <a href="#">Health Center</a>                          | Paul Kittle, VP Student Affairs<br><a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>   | Gabriela Ortiz, MD, Exec. Dir. Student Health Center<br><a href="mailto:gortizgonzales@uh.edu">gortizgonzales@uh.edu</a> | Health care privacy  | HIPAA, HITECH and FERPA  |
| Support Organizations                 | <a href="#">Office of University Advancement</a>       | Eloise Brice, VP University Advancement<br><a href="mailto:edstuhr@uh.edu">edstuhr@uh.edu</a>   | Ben Spofford, Chief of Staff to VP Univ. Advancement<br><a href="mailto:bjspofford@uh.edu">bjspofford@uh.edu</a>         | MOUs, expenses, internal controls, gift receipting   |  |

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| Trademark Management | <a href="#">Marketing and Communications</a> | Lisa Holdeman, VP, Marketing and Communications<br><a href="mailto:lholdeman@uh.edu">lholdeman@uh.edu</a> | Patrick Mulligan, Director Brand Management, Trademarks and Licensing<br><a href="mailto:pjmulligan@uh.edu">pjmulligan@uh.edu</a><br><br>Mark Yzaguirre, Assoc. VC/VP Legal Affairs & Assoc. GC<br><a href="mailto:myzaguirre@uh.edu">myzaguirre@uh.edu</a> | The legal protection, management, and enforcement of the rights in the Marks of the Board, the System and the component universities | <a href="#">SAM 01.D.03 – Trademark Management</a> |
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