The Differential Relations Between Empathy and Internalizing and Externalizing Symptoms in Inpatient Adolescents

# Malgorzata Gambin & Carla Sharp

# Child Psychiatry & Human Development

ISSN 0009-398X

Child Psychiatry Hum Dev DOI 10.1007/s10578-016-0625-8



CHILD PSYCHIATRY & HUMAN DEVELOPMENT An International Journal

ONLIN

FIRS



2 Springer 10578 • ISSN 0009-398X 47(1) 1-172 (2016)



Your article is protected by copyright and all rights are held exclusively by Springer Science +Business Media New York. This e-offprint is for personal use only and shall not be selfarchived in electronic repositories. If you wish to self-archive your article, please use the accepted manuscript version for posting on your own website. You may further deposit the accepted manuscript version in any repository, provided it is only made publicly available 12 months after official publication or later and provided acknowledgement is given to the original source of publication and a link is inserted to the published article on Springer's website. The link must be accompanied by the following text: "The final publication is available at link.springer.com".



ORIGINAL ARTICLE



# The Differential Relations Between Empathy and Internalizing and Externalizing Symptoms in Inpatient Adolescents

Malgorzata Gambin<sup>1</sup> · Carla Sharp<sup>2,3</sup>

© Springer Science+Business Media New York 2016

**Abstract** Impaired empathy is associated with a variety of psychiatric conditions; however, little is known about the differential relations between certain forms of psychopathology and cognitive and affective empathy in adolescent girls and boys. The aim of this study was to examine the relations between externalizing and internalizing disorders and cognitive and affective empathy, respectively, while controlling for covariance among different forms of psychopathology, separately in girls and boys. A total of 507 inpatient adolescents (319 girls and 188 boys) in the age range of 12–17 years completed the Basic Empathy Scale that measures affective and cognitive empathy. The Youth Self-Report Form and Child Behavior Checklist were used to assess the severity of psychopathological symptoms. Results demonstrated that affective and cognitive empathy were negatively associated with conduct problems only in girls, but not in boys. Affective empathy was positively related to internalizing problems observed by parents and youths and self-reported ADHD symptoms in girls and boys. The clinical implications of these differential relationships for externalizing versus internalizing symptoms and empathy are discussed.

<sup>3</sup> Menninger Clinic, 12301 S. Main St., Houston, TX 77035-6207, USA

#### Introduction

Empathy—the ability to understand and share in another's emotional state or context [1]—allows humans to interact effectively in the social world and promotes prosocial behaviors [2]. The ability to empathize is particularly important in adolescence when social reorientation takes place that heightens the salience of peer relations and enhances the role of empathy for effective social functioning [3, 4].

Empathy is a multifaceted construct and most researchers agree that it includes three primary components: (a) an affective response to another person facilitating affective sharing of other people's emotional states (b) a cognitive capacity to take the perspective of the other person; and (c) regulatory mechanisms allowing for the maintenance of self/other distinction during empathizing (e.g., [5-7]). The affective component can be likened to either sympathy defined as an other-oriented emotional reaction to another's emotional state or condition or personal distress—a self-oriented aversive reaction [5-7]. We can also distinguish situational empathy concerning empathic emotions felt in a particular situation measured by experimental tasks and dispositional empathy, assessed by questionnaires and explored in the current study, which is a general disposition to experience empathy [5].

Previous research revealed different patterns of association between empathy and either externalizing or internalizing disorders. Several studies show that conduct disorder (CD) and some of the associated traits (callousunemotional traits, aggressive behaviors) are related to lower levels of empathy [1, 8–12]. Sharing and understanding negative emotions of others that occur in reaction to aggressive and antisocial behavior is thought to prevent individuals from harming others [9, 13]. The relationships

Malgorzata Gambin mgambin@psych.uw.edu.pl

<sup>&</sup>lt;sup>1</sup> Department of Psychology, University of Warsaw, ul Stawki 5/7, 00-183 Warsaw, Poland

<sup>&</sup>lt;sup>2</sup> Department of Psychology, University of Houston, 126 Heyne Building, Houston, TX 77204, USA

Author's personal copy

between empathy and CD are stronger for adolescents than for children when self-report measures of empathy are used [9, 10]. Moreover, more pronounced deficits have been shown for affective empathy compared to cognitive empathy in individuals with conduct disorders especially when CU traits are present [11, 14, 15]. Studies that have investigated gender differences in relation to empathy and conduct disorder (or related traits) are limited and demonstrated mixed findings: (1) deficits in empathy are present in both girls and boys with conduct disorder [1], (2) impaired empathy is observed only in boys, but not girls with aggressive behaviors [16, 17].

There is a lack of studies investigating relationships between empathy and symptoms of oppositional-defiant disorder (ODD) separately from CD. In addition, research on the topic of empathy in children with ADHD is limited [18] and shows inconsistent results. Braaten and Rosen [19] demonstrated that children with ADHD have lower abilities in situational empathy in comparison to healthy controls. In contrast, Deschamps et al. [20] showed that this group of children does not differ in situational and dispositional empathy reported by parents from their peers; however, children were rated as less empathic by their teachers. A study by Marton et al. [21] revealed that children with ADHD were characterized by lower levels of empathy assessed by parents in comparison to their peers without the disorder, but this difference was no longer significant when the level of oppositional and conduct problems were controlled for.

Studies that have explored the association between internalizing disorders and empathy revealed opposite directions of associations compared to externalizing disorders. Higher levels of depression have been found to associate with the tendency to experience higher personal distress as a response to other people's suffering [22-25]. Similarly, a positive association between anxiety and personal distress has been found by Joireman et al. [26]. All these studies were conducted among adults. While still untested, Zahn-Waxler and Van Hulle [27] proposed a hypothesis that children who are empathic and raised in families that involve parental suffering may get overly engaged in caring for parents. In such cases, empathy may be positively correlated with guilt over hurting others, sadness and anxiety. Similarly, O'Connor et al. [22] suggested that depressive patients characterized by higher level of affective empathy may suffer from biased cognitions in which they see themselves as harmful to other people and they can also experience guilt over being better than others and harming others. Moreover, individuals with depression may react to other people's suffering by imagining their own reaction to a similar situation from the past and re-experiencing past emotional pain [23]. These reactions are all associated with self-focus and self-debasing distortions that are characteristic of individuals with internalizing symptoms [28, 29]. In contrast, individuals with externalizing disorders have been shown to display an opposite cognitive style characterized by self-serving and blaming others [28, 29]. These differences in cognitive styles that are characteristic of internalizing and externalizing disorders may explain divergent directions of relationships between empathy and these two dimensions of psychopathological symptoms. Self-debasing distortions and self-focus seem to enhance empathic distress to other peoples' suffering whereas self-serving distortions and blaming others decrease empathic responses and empathybased guilt—we may assume that empathy is in mutual relationship with these cognitive styles.

Most of the above-mentioned research exploring relationships between empathy and externalizing or internalizing symptoms focused on categorical models of psychopathology and individual disorders. Only two studies [20, 21] that investigated empathy in ADHD and conduct or disruptive disorders took into account comorbidity among externalizing disorders. Studies that do not take into account high comorbidity between various internalizing and externalizing disorders [30] may lead to a biased understanding of the relation between psychopathology and empathy. Furthermore, previous work have largely neglected to make distinctions between cognitive and affective empathy even though these two dimensions are related to distinct neural, somatic and behavioral correlates [30–32]. In particular, affective empathy increases activity in the brain network involved in emotional processing, perceiving faces and bodies and understanding and simulating other's actions. In effect it facilitates mirroring of the observed mental and bodily states to a greater extent than cognitive empathy. It was shown also to be more strongly related to externalizing and internalizing symptoms than cognitive empathy [14, 24]. Thus, it is essential to explore relationships of psychopathology to cognitive and affective empathy separately.

Moreover, there is a lack of studies exploring associations between empathy and psychopathological symptoms other than CD (ADHD, ODD, depressive and anxiety disorders) in adolescents. As adolescence is a period of social reorientation [3], which coincides with major changes in the "social brain" [33], it is associated with a high vulnerability for the emergence of psychopathology [34]. It is therefore important to gain a better understanding of the relation between empathy and psychopathology in adolescents specifically. Since moderate agreement was noted in parents' and adolescent' reports of internalizing and externalizing symptoms [35] it is valuable to include ratings of various informants of psychopathology. Adolescent's self-report on internalizing symptoms have been shown to be more valid in comparison to parent reports in previous studies, whereas parent report seem to be a better source of information concerning externalizing disorders [36].

Finally, as several studies revealed that girls are characterized by higher levels of empathy and internalizing disorders and lower level of externalizing disorders than boys [37–39], it is important to explore relationships between empathy and psychopathology separately in females and males.

Against this background, the aim of our study was to investigate the relations between externalizing and internalizing symptoms and cognitive or affective empathy in girls and boys while controlling for covariance among different forms of psychopathology reported by various informants to determine unique relations of certain forms of psychopathology to cognitive and affective empathy, respectively. We applied a dimensional approach to psychopathology that has both statistical and conceptual advantages over a categorical approach [40, 41]. Based on previous studies we predicted that externalizing disorders (CD, ODD, ADHD) would associate with low levels of affective and cognitive empathy and the relations of these symptoms to affective empathy will be stronger than to cognitive empathy. We expected internalizing disorders to be associated with high level of affective empathy and this association to be stronger in girls who more often display internalizing symptoms and high level of affective empathy [37–39]. Finally, we predicted that empathy would be more strongly related to internalizing symptoms reported by adolescents and externalizing symptoms reported by parents as these informants are better sources of information on internalizing and externalizing symptoms, respectively.

## Methods

#### **Participants**

This study included a sample of 711 consecutive admissions of adolescents between the ages of 12-17-year-olds to the adolescent unit of a private psychiatric hospital in Houston in United States between October 2008 and May 2015. Consent and assent for study participation were obtained from both parents and adolescents. Inclusion criteria for study participation consisted of: (1) any adolescent patient between 12 and 17 years of age, and (2) adolescents who were sufficiently fluent in English to complete all research. Exclusion criteria for study participation comprised the following: (1) diagnosis of schizophrenia or any psychotic disorder, and/or (2) diagnosis of mental retardation. Based on these criteria, patients were excluded before participation in the assessment protocol. After these exclusions, a total of 507 participants (319 girls and 188 boys) were used in subsequent analysis.

*T* tests for all of the measures used in the analysis were conducted to verify if adolescent patients who were excluded from analysis data differed significantly from those included. Analyzes revealed no significant differences (for all variables p > .05) between groups.

#### Measures

The Basic Empathy Scale (BES) is a self-report measure developed to assess the multidimensional aspects of empathy [42]. Adolescents were asked to rate 20 items on a 5-point Likert scale, ranging from 1 = Strongly Disagree to 5 = Strongly Agree. Good convergent and divergent validity have been demonstrated for the BES [42]. The scale had two subscales: affective and cognitive empathy that were used in this study. Internal reliability for this measure was good ( $\alpha = .85$ ) for the current study.

Child Behavior Checklist (CBCL; [43]) is a parent-report questionnaire in which parents rate their adolescent's problem behaviors. The measure contains 112 problem items, each scored on a 3-point scale (0—not true, 1—somewhat or sometimes true, 2—very or often true). The measure yields a number of scales, some empirically derived (the Syndrome Scales) and some theoretically based (the DSM-oriented scales). For the current study the DSM-oriented scales: affective problems, anxiety problems, ADHD problems, oppositional-defiant problems and conduct problems were used.

Youth Self-Report (YSR; [43]) is a self-report questionnaire modeled after the CBCL for use with adolescents between the ages of 11 and 18. It is organized similarly, and the same DSM-oriented scales were used. For both measures, the raw scores were used as recommended for research purposes by Achenbach and Rescorla [43]. Thurber and Sheehan [44] emphasized the importance of employing raw scores that cover the full range of variation, instead of T-scores with eliminated the bottom part of the score distribution and reduced variability, in data analyses.

The Diagnostic Interview Schedule for Children— Computerized version (NIMH DISC-IV; [45]) was used to provide a description of the clinical characteristics of this sample. The DISC-IV is a highly structured clinical interview which assesses for Axis I disorders in children and adolescents aged 9–17 years. It is a well-established measure of Axis I psychopathology in youth and has good reliability and validity [45]. In this study, interviews were administered individually and in private by trained research staff and ranged in length of about 1.5–2 h. DISC-IV diagnoses for the past year were used only to provide a description of clinical characteristics for this sample and they were not included in our model and analyses. Diagnoses were assigned a code with no diagnosis = 0, intermediate diagnosis = 1, and positive diagnosis = 2. We present information about the percentages of girls and boys from our sample with positive diagnoses in Table 3.

Wechsler Adult Intelligence Scale III or IV and Wechsler Intelligence Scale for Children IV. Either the Wechsler Adult Intelligence Scale [46, 47] or Wechsler Intelligence Scale for Children [48] was administered by a licensed clinical psychologist according to the adolescent's age. Full Scale IQ score was used to provide description of the overall cognitive ability of girls and boys from our sample.

#### Results

We used a T test for independent samples to investigate differences in affective and cognitive empathy and psychopathological symptoms in girls and boys. Because of *skewness* of the majority of our variables we used Spearman's rank correlation to explore relationships between affective and cognitive empathy and psychopathological symptoms. Furthermore, we employed stepwise linear regression which combines forward and backward selection techniques and enables the researcher to reveal which combination of independent variables has the greatest statistically significant influence on the dependent variable. The aim was to identify which of the psychopathological symptoms were the most strongly related to affective and cognitive empathy in girls and boys.

Descriptive statistics for the full sample are presented in Tables 1 and 2. Percentage of girls and boys with clinical diagnoses are provided in Table 3. T test for independent samples (Table 1) revealed significant differences between

Table 2 Ethnic composition of participants

	Girls (%)	Boys (%)
White/Caucasian	75.0	80.3
American Indian or Alaskan native	.03	0
Asian	4.4	.9
Black or African-American	1.9	.9
Multiracial	6.9	2.8

girls and boys in the level of empathy and severity of some of the psychopathological symptoms. In particular, girls evidenced higher levels of affective and cognitive empathy and higher intensity of affective problems reported by parents and adolescents and self-reported ADHD and anxiety problems. Boys display higher intensity of selfreported CD symptoms.

Results of Spearman rank order correlations in girls and boys are presented in Tables 4 and 5. We found positive correlations between affective empathy and affective and anxiety problems observed by both parents and youths and self-reported ADHD problems in girls and boys. Negative correlations of affective and cognitive empathy with conduct problems assessed by parents and affective empathy with self-reported conduct problems were observed in girls, but not in boys. Affective and cognitive empathy were uncorrelated with age in girls and boys.

Stepwise linear regression was conducted separately for girls and boys to predict level of the affective empathy with internalizing and externalizing symptoms. The internalizing and externalizing symptoms reported by parents and

#### Table 1 Descriptive statistics

	Girls $(n = 319)$		Boys $(n = 188)$		T test	
	Mean	SD	Mean	SD	t	р
Age (months)	188.11	17.69	192.27	17.21	4.15	.006
IQ	106.76	14.75	107.01	11.79	.89	255
Admit GAF	41.27	10.32	42.68	10.57	-1.40	.786
Affective empathy	39.71	7.58	35.21	8.61	6.14	<.001
Cognitive empathy	36.42	4.44	35.23	5.08	2.75	.01
Affective problems (Y)	13.26	5.99	10.37	5.96	5.45	<.001
Anxiety problems (Y)	5.85	3.04	4.94	3.33	3.25	<.001
ADHD problems (Y)	7.54	3.33	6.84	3.00	2.45	.015
ODD problems (Y)	4.69	2.50	4.59	2.39	.45	.653
Conduct problems (Y)	6.54	4.83	7.85	4.94	-3.05	.002
Affective problems (P)	12.82	4.65	11.51	4.58	3.18	.002
Anxiety problems (P)	5.44	2.82	5.01	3.02	1.67	.097
ADHD problems (P)	6.29	3.62	6.56	3.17	88	.377
ODD problems (P)	4.82	2.70	5.06	2.72	98	.327
Conduct problems (P)	6.85	5.44	7.62	5.40	-1.6	.111

Y youth, P parent

 Table 3 Percentage of participants with clinical diagnoses according to DISC-Y

 Table 5
 Results of Spearman correlations between affective and cognitive empathy and psychopathological symptoms in boys

	Girls (%)	Boys (%)
ADHD	20.8	20
CD	13.3	21
ODD	20.8	16.4
Mania	4.2	3.8
Hypomania	3.3	.5
MDD	52.9	40
Dysthymia	1.4	2
SAD	15.2	6.6
GAD	18.2	10.8
OCD	26.9	18.8
Panic disorder	16.3	13.6
PTSD	10.8	3.8
Social Phobia	23.5	20.7
Specific Phobia	20.8	12.2
Agoraphobia	11.1	6.6
Anorexia	8.3	2.3
Bulimia	1.7	0
Schizophrenia	3.6	1.9

**Table 4** Results of Spearman correlations between affective and cognitive empathy and psychopathological symptoms in girls

	Cognitive empathy	Affective empathy
Affective problems (Y)	.060	.261**
Anxiety problems (Y)	004	.369**
ADHD problems (Y)	.078	.148**
ODD problems (Y)	023	027
Conduct problems (Y)	051	117*
Affective problems (P)	019	.145*
Anxiety problems (P)	.003	.133*
ADHD problems (P)	.053	014
ODD problems (P)	061	078
Conduct problems (P)	131*	244**
Age (months)	.078	045

Y youth, P parent

\* *p* < .05; \*\* *p* < .01

adolescents that were previously significantly correlated with empathy in girls and boys were included as predictors in these regression models. Anxiety problems, ADHD problems and conduct problems reported by adolescents were the best predictors of affective empathy in girls: associations with anxiety and ADHD were positive, and a negative relation was found with conduct problems (Table 6). Anxiety problems were the best predictor of affective empathy in boys (Table 7). We did not conduct regression analysis for cognitive empathy as this variable

	Cognitive empathy	Affective empathy
Affective problems (Y)	.098	.208**
Anxiety problems (Y)	.054	.279**
ADHD problems (Y)	.086	.168*
ODD problems (Y)	.033	062
Conduct problems (Y)	.037	108
Affective problems (P)	.121	.177*
Anxiety problems (P)	.076	.158*
ADHD problems (P)	.000	.112
ODD problems (P)	005	.055
Conduct problems (P)	059	086
Age (months)	.071	121

Y youth, P parent

\* p < .05; \*\* p < .01

**Table 6** Linear regression model for affective empathy in girls obtained by stepwise regression

Predictors	Beta	t	F	r <sup>2</sup>
Anxiety problems (Y)	.29	5.20***	22.40***	.18
ADHD problems (Y)	.21	3.28***		
Conduct problems (Y)	26	-4.21***		

Y youth

\*\*\* *p* < .001

was related only to conduct problems reported by parents in girls and it was not related to psychopathological symptoms in boys.

#### Discussion

The aim of the current study was to examine the relationship between externalizing and internalizing disorders and cognitive and affective empathy, respectively, while controlling for covariance among different forms of psychopathology reported by various informants to determine the unique relationships between certain forms of psychopathology and cognitive or affective empathy in adolescent girls and boys. In line with our hypotheses and previous findings [22-26] internalizing symptoms (anxiety and affective problems) were shown to be associated with higher level of affective empathy in girls and boys and the correlations were stronger and more significant in girls than in boys. Surprisingly, not only internalizing symptoms but also self-reported ADHD symptoms were found to be positively correlated with affective empathy. Moreover, anxiety and self-reported ADHD symptoms were one of the best predictors of affective empathy in girls and selfAuthor's personal copy

 Table 7
 Linear regression model for affective empathy in boys obtained by stepwise regression

Predictor	Beta	t	F	r <sup>2</sup>
Anxiety problems (Y)	.25	3.74***	12.06***	.06
<i>Y</i> youth				

\*\*\* *p* < .001

reported anxiety alone was the best predictor of empathy in boys. Since previous research focused mostly on exploring relationship between empathy and depression, finding that anxiety may be more strongly related to empathy than depression sheds new light on research on relationships between empathy and internalizing disorders. We can assume that affective empathy is related more strongly to personal distress, tendency to avoid facing negative emotions of other people or excessive involvement in caring for others than to sympathy and positive social functioning in adolescents with high severity of these symptoms.

One explanation for the positive relation between internalizing and ADHD symptoms to affective empathy can be that both children with internalizing symptoms and those with ADHD symptoms may exhibit negative selfdebasing cognitions in which they see themselves harmful to other people [22]. Other people's feelings can evoke in them intensive emotional reactions among others: guilt over being better than others and/or harming others and anxiety. It can be particularly true in the case of children with ADHD who are very often criticized and blamed by their parents and teachers [49, 50]. Moreover, suffering of other people may evoke in them memories of own painful experiences. Children with internalizing and ADHD symptoms, who were shown to exhibit attention deficits [51–53], may experience difficulties in deployment of attention from such memories. Furthermore, all these disorders (especially ADHD and anxiety disorders) were shown to be associated with high emotional reactivity and difficulties in emotion regulation [54–56]. Thus, negative emotions caused by other people's distress may be evoked in these children more easily and they may experience difficulties in regulating them. Previous studies have shown that children who are characterized by higher emotional reactivity and low ability to regulate emotions are prone to personal distress in reaction to other people's negative emotions [57].

Our study is the first to explore relationships between empathy and ODD separately from CD symptoms and shows that ODD symptoms were unrelated to both cognitive and affective empathy in girls and boys. ODD symptoms are distinct from CD as they do not involve serious aggressive and antisocial acts. Thus, they are less likely to be associated with empathy than CD symptoms. However, surprisingly, CD symptoms were negatively related to affective and cognitive empathy only in girls, but not in boys. These results stand in contrast to previous findings that revealed empathy deficits in boys and inconsistent findings for girls [16, 17]. Boys from our sample were characterized by high level of CD symptoms and lower variability of results in comparison to the population i.e. our sample includes mostly boys with average or high level of CD symptoms. Therefore, individual differences in conduct problems could be less readily detected due to overall severity of the sample and it could result in null findings in case of boys. Other explanation may be that there are mixed directions of relationships between affective empathy and CD symptoms in boys from our sample. Two subgroups of children with externalizing disorders are described in the literature [58, 59] which differ in the reactivity to emotional and threatening stimuli and comorbid psychopathological symptoms. First group is characterized by high reactivity to threatening and emotional stimuli, high level of CD, ADHD and internalizing symptoms. Thus, it may be that CD symptoms are associated with higher level of affective empathy and self-debasing distortions in this group due to comorbidity with ADHD, anxiety and affective disorders [58, 59]. Aggressive and antisocial acts in this group may do not result from low level of empathy, but have impulsive and reactive character. On the other hand, second group of children with CD is characterized by high level of callous-unemotional traits, low level of empathy and deficient emotionality [58, 60]. Opposite directions of relationships between affective empathy and CD in these two groups of children could result in lack of correlation between empathy and CD symptoms in boys. Since girls are more embedded in close relationships [37, 38], low level of empathy may be to a greater extent involved in development of conduct disorders in girls than in boys. However, as our results are not consistent with previous research, this topic should be explored further in future studies.

Moreover, our findings showed that the lowest level of affective empathy was associated with the combination of low anxiety, low self-reported ADHD symptoms and high levels of CD symptoms in girls. These characteristics may be related to callous-unemotional traits-which is the core dimension of psychopathy-that are under moderate to strong genetic influence [61] and has been shown to be a risk factor for instrumental and "cold-blooded" forms of aggression [60]. For a long time psychopathic traits were thought to be resistant to treatment and change, however several studies challenge this belief [62-64]. In particular, research shows that the affective component of the parentchild relationship (especially secure attachment and parental warmth) influences the level and manifestation of CU traits in children [62]. Moreover, family, individual and group therapies were found to be effective for individuals with psychopathic traits and response to treatment was more pronounced in children and adolescents than in adults [63, 64]. We can assume that development of empathic abilities in warm, accepting and empathic therapeutic or family relationships is one of the important healing factors that leads to a decrease in callous-unemotional traits and antisocial behaviors. Boys and girls characterized by opposite pattern of psychopathological symptoms: high levels of internalizing and ADHD symptoms could take advantage from therapeutic interventions building links between affective empathy and sympathy and prosocial behaviors instead of personal distress, guilt, excessive involvement or avoidance.

Our findings confirm results of previous studies showing a distinction between affective and cognitive empathy. Cognitive empathy was related to CD symptoms reported by parents only in girls, however this relationship was very weak and may not have clinical significance. Psychopathological symptoms were not correlated with cognitive empathy in boys. In contrast, affective empathy was related to the several psychopathological symptoms observed by both adolescents and parents in girls and boys and self-reported psychopathological symptoms were the best predictor of affective empathy. Therefore, it seems that affective empathy is not only more closely related to psychopathological symptoms than cognitive empathy, but may be also to greater extent involved in building selfconcept of adolescents.

There are several limitations to this study. First, the vast majority of the participants were Caucasian adolescents from well-educated and financially stable environments who were patients of the private psychiatric hospital. Thus, we cannot generalize these findings to other adolescent populations including community and outpatient samples from diverse backgrounds. Moreover, only one self-reported measure of empathy was used in the current study. Self-report measures are subjective and vulnerable to biases in particular may be influenced by social desirability bias or the participant's mood [65] which could increase correlations between internalizing symptoms and affective empathy. Ideally therefore, future studies should make use of multiple self-report measures of empathy to reduce error variance, or even better, also include experimentally-based measures of empathy.

Future studies may further explore relationships of empathy to externalizing and internalizing disorders in girls and boys. It would be valuable to include not only self-report measures assessing dispositional empathy, but also teacher and parent reports and experimental tasks investigating situational empathy. Moreover, it would be of great importance to conduct prospective longitudinal studies that explore causal pathways of empathy, emotional reactivity, emotion regulation, cognitive styles and internalizing and externalizing disorders. It would be worth to include also other variables that can take part in acquiring ability to empathize especially various aspects of family functioning (attachment security, parents' abilities to mentalize).

### Summary

Previous research revealed different patterns of association between empathy and either externalizing or internalizing disorders. However, this is the first study that explored differential relations between externalizing and internalizing symptoms and cognitive and affective empathy, respectively, using dimensional approach. 319 girls and 188 boys in the age range of 12-17 years completed the Basic Empathy Scale that measures affective and cognitive empathy. The Youth Self-Report Form and Child Behavior Checklist were used to assess the severity of externalizing and internalizing symptoms. We found that affective empathy was more strongly related to psychopathological symptoms in adolescents than cognitive empathy. On the one hand, high levels of affective empathy can be considered as one of the protective factors for the development of conduct disorders in girls. However, on the other hand, empathy was positively related to internalizing and selfreported ADHD symptoms in both girls and boys. In summary, our results suggest that therapeutic interventions should take into account the differential relations between cognitive and affective empathy with different dimensions of psychopathology to maximize effectiveness.

# References

- 1. Cohen D, Strayer J (1996) Empathy in conduct disordered and comparison youth. Dev Psychol 32:988–998
- 2. Batson CD, Fultz J, Schoenrade PA (1987) Distress and empathy: two qualitatively distinct vicarious emotions with different motivational consequences. J Pers 55:19–39
- Nelson EE, Leibenluft E, McClure E, Pine DS (2005) The social re-orientation of adolescence: a neuroscience perspective on the process and its relation to psychopathology. Psychol Med 35:163–174
- Overgaauw S (2015) Social reorientation in adolescence: neurobiological changes and individual differences in empathic concern. Doctoral dissertation, Developmental and Educational Psychology, Faculty of Social and Behavioural Sciences, Leiden University
- 5. Batson CD (1991) Empathic joy and the empathy-altruism hypothesis. J Pers Soc Psychol 61:413–426
- Decety J, Jackson PL (2004) The functional architecture of human empathy. Behav Cogn Neurosci Rev 3:71–100
- 7. Eisenberg N (2000) Emotion, regulation, and moral development. Annu Rev Psychol 51:665–697
- de Wied M, Goudena PP, Matthys W (2005) Empathy in boys with disruptive behavior disorders. J Child Psychol Psychiatry 46:867–880

- Lovett BJ, Sheffield RA (2007) Affective empathy deficits in aggressive children and adolescents: a critical review. Clin Psychol Rev 27:1–13
- Miller PA, Eisenberg N (1998) The relation of empathy to aggressive and externalizing/antisocial behavior. Psychol Bull 103:324–344
- Schwenck C, Mergenthaler J, Keller K, Zech J, Salehi S, Taurines R et al (2012) Empathy in children with autism and conduct disorder: group-specific profiles and developmental aspects. J Child Psychol Psychiatry 53:651–659
- Sterzer P, Stadler C, Poustka F, Kleinschmidt A (2007) A structural neural deficit in adolescents with conduct disorder and its association with lack of empathy. Neuroimage 37:335–342
- Davis MH, Luce C, Kraus SJ (1994) The heritability of characteristics associated with dispositional empathy. J Pers 62:369–391
- 14. Blair RJ (2005) Responding to the emotions of others: dissociating forms of empathy through the study of typical and psychiatric populations. Conscious Cogn 4:698–718
- Sebastian CL, McCrory EJ, Cecil CA, Lockwood PL, De Brito SA, Fontaine NM, Viding E (2012) Neural responses to affective and cognitive theory of mind in children with conduct problems and varying levels of callous-unemotional traits. Arch Gen Psychiatry 69:814–822
- Bryant BK (1982) An index of empathy for children and adolescents. Child Dev 53:413–425
- 17. Feshbach ND, Feshbach S (1969) The relationship between empathy and aggression in two age groups. Dev Psychol 1:102
- Uekermann J, Kraemer M, Abdel-Hamid M, Schimmelmann BG, Hebebrand J, Daum I et al (2010) Social cognition in attentiondeficit hyperactivity disorder (ADHD). Neurosci Biobehav Rev 34:734–743
- Braaten EB, Rosen LA (2000) Self-regulation of affect in attention deficit-hyperactivity disorder (ADHD) and non-ADHD boys: differences in empathic responding. J Consult Clin Psychol 68:313–321
- 20. Deschamps PKH, Schutter DJLG, Kenemans JL, Matthys W (2015) Empathy and prosocial behavior in response to sadness and distress in 6- to 7-year olds diagnosed with disruptive behavior disorder and attention-deficit hyperactivity disorder. Eur Child Adolesc Psychiatry 24:105–113
- Marton I, Wiener J, Rogers M, Moore C, Tannock R (2009) Empathy and social perspective taking in children with Attention-Deficit/Hyperactivity Disorder. J Abnorm Child Psychol 37:107–118
- 22. O'Connor L, Berry J, Weiss J, Gilbert P (2002) Guilt, fear, submission, and empathy in depression. J Affect Disord 71:13–19
- 23. Schieman S, Turner HA (2001) "When feeling other people's pain hurts": the influence of psychosocial resources on the association between self-reported empathy and depressive symptoms. Soc Psychol Q 64:376–389
- Schreiter S, Pijnenborg GHM, Aan Het Rot M (2013) Empathy in adults with clinical or subclinical depressive symptoms. J Affect Disord 150:1–16
- 25. Thoma P, Zalewski I, von Reventlow HG, Norra C, Juckel G, Daum I (2011) Cognitive and affective empathy in depression linked to executive control. Psychiatry Res 189:373–378
- Joireman J, Needham T, Cummings A (2001) Relationship between dimensions of attachment and empathy. N Am J Psychol 3:63–80
- 27. Zahn-Waxler C, Van Hulle C (2012) Empathy, guilt, and depression: when caring for others becomes costly to children. In: Oakley B, Knafo A, Madhavan G, Wilson D (eds) Pathological altruism. Oxford University Press, New York, pp 321–344
- Barriga AQ, Hawkins MA, Camelia CR (2008) Specificity of cognitive distortions to antisocial behaviours. Crim Behav Ment Health 18:104–116

- Barriga AQ, Landau JR, Stinson BL, Liau AK, Gibbs JC (2000) Cognitive distortion and problem behaviors in adolescents. Crim Justice Behav 27:36–56
- Lilienfeld SO (2003) Comorbidity between and within childhood externalizing and internalizing disorders: reflections and directions. J Abnorm Child Psychol 31:285–291
- Nummenmaa L, Hirvonen J, Parkkola R, Hietanen JK (2008) Is emotional contagion special? An fMRI study on neural systems for affective and cognitive empathy. Neuroimage 43:571–580
- 32. Shamay-Tsoory SG, Aharon-Peretz J, Perry D (2009) Two systems for empathy: a double dissociation between emotional and cognitive empathy in inferior frontal gyrus versus ventromedial prefrontal lesions. Brain 132:617–627
- Blakemore SJ (2008) The social brain in adolescence. Nat Rev Neurosci 9:267–277
- Powers A, Casey BJ (2015) The adolescent brain and the emergence and peak of psychopathology. J Infant Child Adolesc Psychother 14:3–15
- 35. Youngstrom E, Loeber R, Stouthamer-Loeber M (2000) Patterns and correlates of agreement between parent, teacher, and male adolescent ratings of externalizing and internalizing problems. J Consult Clin Psychol 68:1038–1050
- 36. Lauth B, Arnkelsson GB, Magnússon P, Skarphéðinsson GÁ, Ferrari P, Pétursson H (2010) Parent–youth agreement on symptoms and diagnosis: assessment with a diagnostic interview in an adolescent inpatient clinical population. J Physiol 104:315–322
- Bell DJ, Foster SL, Mash EJ (2005) Understanding behavioral and emotional problems in girls. In: Bell DJ, Foster SL, Mash EJ (eds) Handbook of behavioral and emotional problems in girls. Springer US, pp 1–24
- Rose AJ, Rudolph KD (2006) A review of sex differences in peer relationship processes: potential trade-offs for the emotional and behavioral development of girls and boys. Psychol Bull 32:98–131
- Zahn-Waxler C (1993) Warriors and worriers: gender and psychopathology. Dev Psychopathol 5:79–89
- Gadow KD, Roohi J, DeVincent CJ, Hatchwell E (2008) Association of ADHD, tics, and anxiety with dopamine transporter (DAT1) genotype in autism spectrum disorder. J Child Psychol Psychiatry 49:1331–1338
- Hudziak JJ, Achenbach TM, Althoff RR, Spine DS (2007) A dimensional approach to developmental psychopathology. Int J Methods Psychiatr Res 16:S16–S23
- Jolliffe D, Farrington DP (2006) Development and validation of the Basic Empathy Scale. J Adolesc 29:589–611
- 43. Achenbach TM, Rescorla LA (2001) Manual for ASEBA schoolage forms and profiles. University of Vermont, Research Center for Children, Youth, & Families, Burlington
- 44. Thurber S, Sheehan WP (2012) Note on truncated T scores in discrepancy studies with the Child Behavior Checklist and Youth Self Report. Arch Assess Psychol 2:73–80
- 45. Shaffer D, Fisher P, Lucas CP, Dulcan MK, Schwab-Stone ME (2000) NIMH Diagnostic Interview Schedule for Children Version IV (NIMH DISC-IV): description, differences from previous versions, and reliability of some common diagnoses. J Am Acad Child Adolesc Psychiatry 39:28–38
- Wechsler D (1997) WAIS-III: administration and scoring manual: Wechsler adult intelligence scale. Psychological Corporation, San Antonio
- 47. Wechsler D (2008) Wechsler adult intelligence scale—fourth edition (WAIS–IV). NCS Pearson, San Antonio
- Wechsler D (2003) Wechsler intelligence scale for children fourth edition (WISC-IV). The Psychological Corporation, San Antonio
- Keown L, Woodward L (2006) Preschool boys with pervasive hyperactivity: early peer functioning and mother-child relationship influences. Soc Dev 15:23–45

- Lifford KJ, Harold GT, Thapar A (2008) Parent-child relationships and ADHD symptoms: a longitudinal analysis. J Abnorm Child Psychol 36:285–296
- 51. Donaldson C, Lam D, Mathews A (2007) Rumination and attention in major depression. Behav Res Ther 45:2664–2678
- 52. Eysenck MW, Derakshan N, Santos R, Calvo MG (2007) Anxiety and cognitive performance: attentional control theory. Emotion 7:336
- Mullane JC, Corkum PV, Klein RM, McLaughlin EN, Lawrence MA (2010) Alerting, orienting, and executive attention in children with ADHD. J Atten Disord 15:310–320
- 54. Goldin PR, Manber T, Shabnam H, Canli T, Gross JJ (2009) Neural bases of social anxiety disorder. Emotional reactivity and cognitive regulation during social and physical treatment. Arch Gen Psychiatry 66:170–180
- Pine DS, Cohen P, Brook JS (2001) Emotional reactivity and risk for psychopathology among adolescents. CNS Spectr 6:27–35
- Walcott DM, Landau S (2004) The relation between disinhibition and emotion regulation in boys with attention deficit hyperactivity disorder. J Clin Child Adolesc Psychol 33:772–782
- 57. Eisenberg N, Fabes RA, Murphy B, Karbon M, Smith M, Maszk P (1996) The relations of children's dispositional empathy-related responding to their emotionality, regulation, and social functioning. Dev Psychol 32:195

- Frick PJ, Ellis M (1999) Callous-unemotional traits and subtypes of conduct disorder. Clin Child Fam Psychol Rev 2:149–168
- Gambin M, Gambin T, Sharp C (2015) Social cognition, psychopathological symptoms, and family functioning in a sample of inpatient adolescents using variable-centered and person-centered approaches. J Adolesc 45:31–43
- Frick PJ, White SF (2008) Research review: the importance of callous-unemotional traits for developmental models of aggressive and antisocial behavior. J Child Psychol Psychiatry 49:359–375
- Viding E, Blair RJR, Moffitt TE, Plomin R (2005) Evidence for substantial genetic risk for psychopathy in 7-year-olds. J Child Psychol Psychiatry 46:592–597
- 62. Pasalich DS, Dadds MR, Hawes DJ, Brennan J (2012) Attachment and callous-unemotional traits in children with early-onset conduct problems. J Child Psychol Psychiatry 53:838–845
- Polaschek DL, Daly TE (2013) Treatment and psychopathy in forensic settings. Aggress Violent Behav 18:592–603
- 64. Salekin RT (2002) Psychopathy and therapeutic pessimism: clinical lore or clinical reality? Clin Psychol Rev 22:79–112
- 65. Podsakoff PM, MacKenzie SB, Lee JY, Podsakoff NP (2003) Common method biases in behavioral research: a critical review of the literature and recommended remedies. J Appl Psychol 88:879–903